



10/536948
PCT/GB 2003/005151



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales

27 MAY 2005

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

NP10 8QQ	
REC'D 20 JAN 2004	
WIPO	PCT

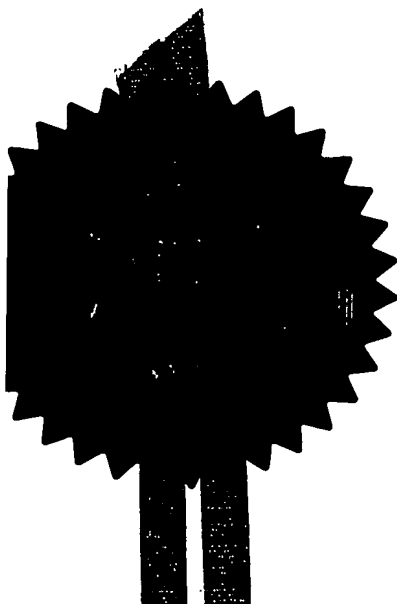
I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

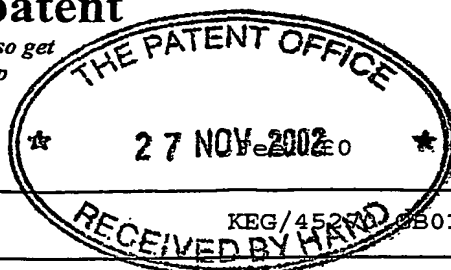
Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed *Andrew Gervay*
Dated 22 December 2003



Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form.)



The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference

2. Patent application number

(The Patent Office will fill in this part)

27 NOV 2001

0227662.4

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Filtrona International Limited,
110 Park Street,
LONDON.
W1Y 3RB.

Patents ADP number (if you know it) 07617996 001

If the applicant is a corporate body, give the country/state of incorporation

GB

4. Title of the invention

Activated Carbon Cigarette Filters

5. Full name, address and postcode in the United Kingdom to which all correspondence relating to this form and translation should be sent

Reddie & Grose
16 Theobalds Road
LONDON
WC1X 8PL

Patents ADP number (if you know it)

91001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application
(If you know it)

Date of filing
(day/month/year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body.

See note (d))

YES

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document.

Continuation sheets of this form

Description 06

Claim(s) 03

Abstract 0

Drawing(s) 0

10. If you are also filing any of the following, state how many against each item.

Priority documents 0

Translations of priority documents 0

Statement of inventorship and right to grant of a patent (Patents Form 7/77) 0

Request for preliminary examination and search (Patents Form 9/77) 1 ✓

Request for substantive examination (Patents Form 10/77) 0

Any other documents (please specify) 0

11.

I/We request the grant of a patent on the basis of this application.

Signature

Reddie & Grose

Date

27 November 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

K.E. GEERING - Reddie & Grose
020 - 7242 0901

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or such direction has been revoked.

Notes

If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.

Write your answers in capital letters using black ink or you may type them.

If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.

If you have answered 'Yes' Patents Form 7/77 will need to be filed.

Once you have filled in the form you must remember to sign and date it.

For details of the fee and ways to pay please contact the Patent Office.

DUPLICATE

- 1 -

TOBACCO SMOKE FILTER

This invention relates to tobacco smoke filters containing particulate sorbent.

Such use of sorbent particles to remove vapour phase
5 (VP) components from tobacco smoke is well known. Cigarettes containing volatile flavourant (e.g. menthol) are also well known. However, prior attempts to use both volatile flavourant and particulate sorbent in a filter cigarette have been unsuccessful, it having proved
10 impossible to provide a satisfactory level of flavour delivery whilst maintaining a satisfactory level of VP constituent removal by the particulate sorbent.

The present invention provides a tobacco smoke filter containing activated carbon in which (1) pores of under 2 nm
15 pore diameter (micropores) provide a pore volume of at most 0.3 cm³/g (N₂); and (2) (a) pores of 2 to 50 nm pore diameter (mesopores) provide a pore volume of at least 0.25 cm³/g (N₂) and/or (b) pores of 7 to 50 nm diameter (larger mesopores) provide a pore volume of at least 0.12 cm³/g (Hg).
20 Herein a pore volume expressed in cm³/g (N₂) means said volume as measured by nitrogen porosimetry (using a Micromeritics Tristar 3000 for measurement of the nitrogen adsorption/desorption isotherms and characterising the pore

size distribution via the BJH method on the desorption branch of the isotherm. A pore volume or surface area expressed in cm^3/g (Hg) or m^2/g (Hg) means said value as measured by mercury porosimetry using a contact angle of 140° and a surface tension value of 480 dynes/cm.

In the activated carbon used according to the invention pores of over 50 nm pore diameter (macropores) preferably provide a pore surface area of at least $5 \text{ m}^2/\text{g}$ (Hg), most preferably of 6 or more m^2/g (Hg).

The designation of pores of less than 2 nm, 2 to 50 nm, and over 50 nm size as micro-, meso- and macro-pores is in accord with accepted IUPAC terminology and definition.

The pore volume provided by said micropores is preferably at most $0.26 \text{ cm}^3/\text{g}$ (N_2), more preferably $0.15 \text{ cm}^3/\text{g}$ (N_2) or less. The pore volume provided by said 2 to 50 nm mesopores may for example be about $0.3 \text{ cm}^3/\text{g}$ (N_2) and is preferably over 0.4 or over $0.5 \text{ cm}^3/\text{g}$ (N_2); the preferred range is thus from 0.3 to 0.5 or higher cm^3/g (N_2). The pore volume provided by the 7 to 50 nm larger mesopores is preferably $0.13 \text{ cm}^3/\text{g}$ (Hg) or higher, and can be over 0.3 or over $0.5 \text{ cm}^3/\text{g}$ (Hg); the preferred range is thus from 0.13 to 0.5 or higher cm^3/g (Hg).

We have most unexpectedly found that activated carbon of such carefully controlled micro/meso porosity - and preferably micro/meso/macro porosity - (a) shows a satisfactory level of adsorption of volatile flavourant such as menthol (not too little and not too much); (b) releases sufficient of the flavour under smoking conditions to deliver satisfactory taste; (c) shows good adsorption of VP components from tobacco smoke; and (d) retains a satisfactory (albeit reduced) level of this VP removal even in the presence of volatile flavourant such as menthol. This combination of properties has not heretofore been attainable.

Accordingly the invention also provides a tobacco smoke filter according to the invention incorporated in a filter cigarette containing volatile flavourant - e.g. menthol. Such a filter cigarette provides for the first time the combination of flavour delivery to give an acceptably flavoured taste with an acceptable reduction in delivery of VP smoke components.

The filter according to the invention may be of any design previously proposed for particulate sorbent-containing tobacco smoke filters. For example the carbon may be dispersed throughout a filter plug, carried on the tow or fibres or sheet material which is gathered to form the plug; it may instead be adhered to one or more threads

which extend through the matrix of the filter plug or be adhered to the inner face of a wrapper around the filter plug; or it may form a bed sandwiched between a pair of plugs (e.g. of cellulose acetate tow) in a common wrapper.

5 The carbon may be treated with the flavourant prior to filter production so that it acts as a carrier for the flavour and minimises migration of the flavour during storage. Instead, the carbon could be used in a suitable filter in the unflavoured state, with the flavour being

10 added to another part of the filter and/or to the cigarette with which the filter is used and/or to the filter cigarette packaging. The flavourant might be carried on a wrapper around a filter plug or on one or more threads through a filter plug, and such plug may be the plug which also

15 carries the activated carbon or a separate plug.

Filters according to the invention may additionally include one or more particulate sorbents other than the activated carbon required by the invention (e.g. silica gel, or a different carbon), mixed with the carbon required by

20 the invention and/or separate from this.

The invention is illustrated by the following Examples, in which Examples B, C and D are according to the invention and the remainder are comparisons.

EXAMPLES

For each example a sample of the respective activated carbon was dried and exposed to a menthol atmosphere in a desiccator at 55°C for 4 days, and the increase in weight was recorded. 'Triple cavity' cigarette filters were then assembled, each containing 100 mg of the mentholated carbon in a packed bed between two cellulose acetate filter segments. The filter cigarettes were smoked under ISO conditions (35 cm³ puffs, each of two seconds duration, taken once per minute) and the menthol yields from the cigarettes were measured. The vapour phase of cigarette smoke was also collected and the percentage reduction of a selected number of vapour phase compounds measured; the mean reduction in these VP compounds, and the reduction obtained from an equivalent filter with 100 mg of the same carbon prior to exposure to menthol, were measured relative to an equivalent filter cigarette with no carbon.

The results are summarised in the following Table which gives the porosity parameters for the various carbons employed and the measured performances of the filters using them. Examples B, C and D use activated carbons according to the requirements of the invention, whilst the remainder do not. Comparison Example A used a standard coconut-based carbon as typically used in prior cigarette filters, whilst Comparison Examples E to G used other carbons whose micro/meso/macro porosity led to poor results.

T A B L E

EXAMPLE	A	B	C	D	E	F	G
Micropore Volume (N ₂)-(cm ³ /g)	0.46	0.26	0.11	0.12	0.52	0.57	0.23
2-50 nm Mesopore Volume (N ₂)-cm ³ /g	0.09	0.30	0.44	0.51	0.36	0.25	0.04
7-50nm Mesopore Volume (Hg)-cm ³ /g	0.06	0.13	0.34	0.54	0.21	0.15	na *
Macropore Area (Hg)-m ² /g	1.9	6.4	6.9	12.2	1.4	4.9	na *
Menthol Uptake %	18.6	27.3	27.5	23	57.1	18.9	11.5
Menthol Yield (mg/cig)	0.03	0.73	0.44	0.72	0.07	0.06	0.15
Mean VP (unmentholated) (%)	53	85	45	61	85	45	47
Mean VP (mentholated) (%)	<5	24	24	36	<5	<5	<5

5

10

* na - not ascertained

C L A I M S :

1. A tobacco smoke filter containing activated carbon in which micropores of under 2 nm pore diameter provide a micropore volume of at most 0.3 cm³/g (N₂) and mesopores of
5 2 to 50 nm pore diameter provide a mesopore volume of at least 0.25 cm³/g (N₂).
2. A tobacco smoke filter containing activated carbon in which micropores of under 2 nm pore diameter provide a micropore volume of at most 0.3 cm³/g (N₂) and mesopores of
10 7 to 50 nm pore diameter provide a mesopore volume of at least 0.12 cm³/g (Hg).
3. A tobacco smoke filter containing activated carbon in which micropores of under 2 nm pore diameter provide a micropore volume of at most 0.3 cm³/g (N₂), mesopores of 2 to
15 50 nm pore diameter provide a mesopore volume of at least 0.25 cm³/g (N₂), and mesopores of 7 to 50 nm pore diameter provide a mesopore volume of at least 0.12 cm³/g (Hg).
4. A filter according to any preceding claim wherein macropores of over 50 nm diameter provide a surface area of
20 at least 5 m²/g (Hg).

5. A filter according to any preceding claim wherein said micropore volume is at most $0.26 \text{ cm}^3/\text{g}$ (N_2).
6. A filter according to any preceding claim wherein said micropore volume is at most $0.15 \text{ cm}^3/\text{g}$ (N_2).
- 5 7. A filter according to any preceding claim wherein said 2 to 50 nm mesopore volume is about $0.3 \text{ cm}^3/\text{g}$ (N_2).
8. A filter according to any of claims 1 to 6 wherein said 2 to 50 nm mesopore volume is over 0.4 or over $0.5 \text{ cm}^3/\text{g}$ (N_2).
- 10 9. A filter according to any preceding claim wherein said 7 to 50 nm mesopore volume is at least $0.13 \text{ cm}^3/\text{g}$ (Hg).
10. A filter according to any preceding claim wherein said 7 to 50 nm mesopore volume is over 0.3 or over $0.5 \text{ cm}^3/\text{g}$ (Hg).
- 15 11. A tobacco smoke filter substantially as described in Example B or C or D.
12. A filter cigarette containing volatile flavourant and including a filter according to any preceding claim.

13. A filter cigarette according to claim 12 wherein said flavourant comprises menthol.
14. A filter cigarette according to claim 12 or 13 wherein said flavourant is applied to said activated carbon.
- 5 15. A filter cigarette according to any of claims 12 to 14 wherein said flavourant is applied to a part of said filter or cigarette other than said activated carbon and/or to packaging for said cigarette.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.